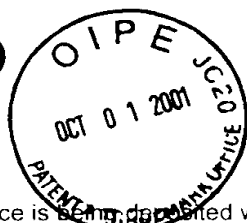


Docket No.: PEK-IN1022



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By: [Signature]

Date: September 26, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Achim Gratz  
Applic. No. : 09/940,001  
Filed : August 27, 2001  
Title : Vertical Non-Volatile Semiconductor Memory Cell and Method  
for Manufacturing the Memory Cell

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks,  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. 1.98 copies of the following patents and/or publications are submitted herewith:

U. S. Patent 5,616,510 (Wong) dated April 1, 1997;

U. S. Patent 6,034,389 (Burns, Jr. et al.) dated March 7, 2000.

Respectfully submitted,

[Signature]  
For Applicants

Mark P. Weichselbaum  
Reg. No. 43,248

Date: September 26, 2001

Lerner And Greenberg, P.A.  
Post Office Box 2480  
Hollywood, FL 33022-2480  
Tel: (954) 925-1100  
Fax: (954) 925-1101

/vs